



FinTech (Financial Technology)

FinTech (financial technology) and other financial services clients, including banks, financial institutions, credit unions, private equity funds and startups, rely on our deep understanding of their markets, business issues, liabilities, risks and opportunities. We help industry players make proactive and informed decisions that position them for long-term success.

Our cost-effective approach to providing legal services and our track record of success make us a valuable partner to any organization in this highly regulated industry.

Areas of Focus

Consumer Financial Services

We provide strategic and focused legal counsel on a variety of regulatory and compliance issues. Our attorneys are consistently monitoring legislative and regulatory activity and understand how to implement compliant methodologies, policies and procedures for all types of financial services companies. We seek to understand our clients' markets, business issues, liabilities, risks and opportunities to help them make proactive and informed decisions in an increasingly complex environment.

With in-depth knowledge of state and federal financial services law, our attorneys offer guidance in the following areas:

- Development of compliance protocols
- Auditing policies and procedures
- Regulatory examinations
- Due diligence
- Training
- Advertising
- Sales practices

Corporate Transactions

We are trusted advisors to both large and small companies, combining legal knowledge and business acumen to fortify our clients' success. From formation and structuring to drafting and negotiating agreements, we ensure that transactions — and the actions of our clients' corporate officials — do not conflict with local, state or federal laws. Our attorneys are flexible and incisive, providing both day-to-day and complex legal counsel to benefit rising companies.

Litigation & Class Actions

We defend financial institutions of all sizes in a variety of litigation proceedings, including consumer finance litigation, CFPB and other regulatory enforcement actions, bankruptcy proceedings, residential contested foreclosures, class actions and litigation management across multiple jurisdictions. Our clients look to us for strategic and focused counsel when facing federal and state statutory causes of action, as well as common law claims.

[Banking & Financial Services Litigation >>](#)

[Class Actions & Collective Actions >>](#)

Anti-Money Laundering Programs

With in-depth knowledge of federal anti-money laundering (AML) laws and requirements, our attorneys have assisted clients with the development and implementation of AML programs, have managed AML program audits and have responded to AML-related regulatory matters.

Small Business Lending & Merchant Cash Advances

Our team includes a former general counsel and chief compliance officer of a leading small business FinTech finance company. He has seasoned experience establishing, managing and leading legal, compliance, data security, and privacy programs and initiatives in the fast-paced competitive small business lending and merchant cash advance industry. With in-depth operational experience, our team provides cost-effective, practical guidance that can be quickly implemented to meet each client's unique needs.

Business Restructuring & Reorganization

Often, an out-of-court restructuring can be more effective and cost-efficient than a formal bankruptcy process or a viable alternative to liquidation. Whether the strategy involves out-of-court financial restructuring, liquidation or reorganization, our advisors work together to protect and advance client interests.

Bank Originating Partnerships

Our attorneys have assisted clients with all aspects of bank originating partnerships for non-bank financial services providers, including drafting bank partnership agreements, establishing compliance and data security programs and managing litigation with respect to such programs.

Securities Issues & Equity Plans

Throughout all stages of corporate growth, we provide advice on corporate finance, private offerings, raising capital, convertible debt, stock options, employee equity plans and related issues.

Intellectual Property

Our full-service intellectual property practice advises clients on all aspects of intellectual property, including trademarks, copyrights, internet issues and trade secrets. Clients from a wide variety of industries value our team's technical background and legal and business knowledge. We collaborate with businesses and individuals across the globe to evaluate, license, protect and enforce IP, providing tailored solutions that advance our clients' objectives.

Privacy & Data Protection

We are experienced and responsive privacy and data professionals, helping clients ensure compliance, mitigate risks and protect their data. Our multidisciplinary privacy and data protection team has a defined and proactive approach to helping clients stay up-to-date on constantly evolving state and federal laws and regulations, establishing compliance and minimizing the risk of data compromise or cyber incidents. In the event of a breach, our reliable team will protect and defend those that have experienced a cyberattack.

People



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